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Appeal Brief

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Docket No.: 0766.0002.US

Kyu Dong KIM, et al.

Application No.: 09/725,933

Group Art Unit: 3623

Confirmation No.: 2877

Filed: November 30, 2000

Examiner: DESHPANDE, Kalyan K

For: **SYSTEM AND METHOD FOR AUTOMATING A PROCESS OF BUSINESS  
DECISION AND WORKFLOW**

**Mail Stop: Appeal Brief - Patents**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO NOTIFICATION OF NON-COMPLIANT APPEAL BRIEF**

Sir:

Further to Appellants' Brief on Appeal ("Brief") that was timely filed on December 27, 2006, and the Notification of Non-Compliant Appeal Brief ("Notification") mailed on February 13, 2007, the present Response to Notification of Non-Compliant Appeal Brief is now timely filed on or before March 15, 2007.

The Notification indicates that the Brief is defective solely due to a failure to provide a summary of the claimed subject matter in compliance with 37 CFR 41.37(c)(1)(v). Under MPEP Chapter 1205.03, paragraph (B):

When the Office holds the brief to be defective solely due to appellant's failure to provide a summary of the claimed subject matter as required by 37 CFR 41.37(c)(1)(v), an entire new brief need not, and should not, be filed. Rather, a paper providing a summary of the claimed subject matter as required by 37 CFR 41.37(c)(1)(v) will suffice. (*emphasis added*)


Accordingly, enclosed herein is a paper providing a summary of the claimed subject matter as required by 37 CFR 41.37(c)(1)(v). Applicants respectfully submit that the Brief

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complies with all sections and paragraphs of 37 CFR 41.37, and respectfully request withdrawal of the Notification.

This is an appeal from the final rejection of all pending claims in this application. The fee for this appeal has been paid previously. However, if the fee was not paid or is deficient, or if any other fees are due upon filing of this Response to Notification of Non-Compliant Appeal Brief, please charge such fees or credit any overpayment to Deposit Account No. 50-3698 (H.C. Park & Associates, PLC).

Respectfully Submitted,

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Date: March 15, 2007

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**(v) SUMMARY OF CLAIMED SUBJECT MATTER**

Claims 1 and 32 are independent claims. Claims 2-17 and 19-31 each depend from claim 1. Claims 33-43 depend from claim 32. The independent claims and the dependent claims on appeal are directed to a system and method for automating a business process in various business environments and workflows, including a system and utility device that can help design and implement a business decision making procedure and workflow automation.

More specifically, claim 1 is directed to a workflow management system for automating a business process (page 7, line 15 to page 9, line 13; Figures 2-6), where an administrator (page 9, line 14 to page 13, line 2; Figures 2 and 3) executable by a computer manages automating the business process and comprises an organization manager (page 9, line 22 to page 10, line 14; Figure 3), a role-group manager (page 10, line 15 to page 11, line 5; Figure 3), an authority manager (page 11, lines 7-17; Figure 3), a process manager (page 12, lines 5-22; Figure 3), and a folder manager (page 11, line 18 to page 12, line 4; Figure 3). Further, the system includes a form generator executable by the computer, where the form generator designs and operates an electronic form related to the business process (page 16, line 1 to page 17, line 4; Figure 6), and interfaces with a database (page 16, lines 13-16), a process designer (page 13, lines 3 to page 14, line 11; Figures 2 and 4), a process engine (Figures 2 and 5), a web client (page 14, line 12 to page 15, line 23; Figures 2 and 5), and/or a business application program.

Claim 32 is directed to a method for automating a business process (page 17, line 7 to page 41, line 7; Figures 7-24). The process includes steps for modeling the business process (page 17, lines 9-16; Figures 2 and 7), where the step for modeling the business process further includes generating an organization chart (page 10, lines 5-14); and executing the business process (page 18, line 12 to page 19, line 3; Figure 7), where the step of executing the business process includes allocating the activity to a participant (page 18, lines 12-15), and designing and creating an electronic form related to the business process (page 16, lines 1-24).

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**Claim 1**

1. A workflow management system for automating a business process (page 7, lines 4-12; Figure 2), comprising:

a computer (page 5, line 15 to page 6, line 1);

an administrator executable by the computer (page 8, lines 3-7; page 9, line 14 to page 13, line 2; Figures 2-3), wherein the administrator manages automating the business process (page 8, lines 3-7; Figure 3) and comprises an organization manager (page 9, line 22 to page 10, line 14; Figure 3), a role/group manager (page 10, line 15 to page 11, line 6; Figure 3), an authority manager (page 11, lines 7-17; Figure 3), a process manager (page 12, lines 5-22; Figure 3), and a folder manager (page 11, line 18 to page 12, line 4; Figure 3);

a process designer executable by the computer (page 8, lines 8-9; page 13, line 3 to page 14, line 11; Figures 2 and 4), wherein the process designer determines flows and properties of the business process and defines an activity that is performed in the business process (page 8, lines 8-9; page 13, line 3 to page 14, line 11; Figures 2 and 4);

a database accessible by both the administrator and the process designer (page 8, lines 14-15; Figure 2), wherein the database stores organization information, authority information, and folder information relating to the business process;

a process engine executable by the computer (page 8, lines 10-13; Figures 2 and 5), wherein the process engine executes the business process and allocates the activity to the participant, monitors a start and end of the activity, facilitates storing a result of the activity, and/or interfaces with the database, another workflow engine, and/or a business application program (page 7, line 23 to page 8, line 2; page 8, lines 10-13; Figures 2 and 5);

a web client (page 14, line 12 to page 15, line 23; Figures 2 and 5), that interfaces with the process engine, the another workflow engine, and/or the business application program, and wherein the web client permits a user to interact with the system (page 14, line 12 to page 15, line 23; Figures 2 and 5); and

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a form generator executable by the computer (page 9, lines 7-13; page 16, line 1 to page 17, line 4; Figure 6), wherein the form generator designs and operates an electronic form related to the business process, and wherein the form generator interfaces with the database, the process designer, the process engine, the web client, and/or the business application program (page 9, lines 7-13; page 16, line 1 to page 17, line 4; Figure 6).

**Claim 32**

32. A method for automating a business process (page 17, line 7 to page 41, line 7; Figures 7-24), the method comprising steps of:

modeling the business process (page 17, line 9 to page 18, line 9; Figures 2 and 7), wherein the step of modeling includes generating an organization chart (page 17, lines 9-16; Figures 2 and 7);

defining an activity that is performed in the business process (page 18, lines 3-9);

accessing, from a database, organization information, authority information, and/or folder information relating to the business process (page 8, lines 14-15; page 12, lines 5-14; page 14, lines 1-7);

executing the business process (page 7, line 22 to page 9, line 13; page 18, line 12 to page 19, line 3; page 33, line 5 to page 41, line 7; Figure 7), wherein the step of executing the business process includes allocating the activity to a participant (page 18, lines 12-15; page 33, lines 5-9), and designing and creating an electronic form related to the business process (page 16, lines 1-24); and

monitoring the business process (page 9, lines 4-6; page 15, line 19 to page 23), wherein the step of monitoring the business process comprises monitoring a start and end of the activity, facilitating storing a result of the activity, and/or interfacing with a workflow program and/or business application program (page 9, lines 4-6; page 15, line 19 to page 23; page 26, lines 8 to page 28, line 19).

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